

**DECREE**  
**OF THE NATIONAL SECURITY AUTHORITY**  
**of 10 May 2004**  
**regulating the details of certification of mechanical barrier devices and technical**  
**security devices and their application,**  
**as amended by Decree of the National Security Authority No 314/2006 Coll. amending**  
**Decree No 337/2004 Coll.**

The National Security Authority (hereinafter referred to as the “Authority”), pursuant to Article 54 paragraph 11 of the Act No 215 Coll. on protection of classified materials and on amendment to certain laws (hereinafter referred to as the “Act”) hereby stipulates:

Article 1

Mechanical barrier device and technical security device

- (1) A mechanical barrier device and a technical security device shall be determined, pursuant to the requirements specified in the security standard of physical security and building security<sup>1)</sup> (hereinafter referred to as the “security standard”), as a mechanical barrier device and a technical security device designated for the protection of classified materials of the security level
  - a) Top Secret, abbreviation “TS”,
  - b) Secret, abbreviation “S”,
  - c) Confidential, abbreviation “C”,
  - d) Restricted, abbreviation “R”.
- (2) Mechanical barrier devices and technical security devices designated for the protection of classified materials of the security level “R” shall not be subject to certification. Their technical specification shall be shown in the security documentation of physical and building security.<sup>2)</sup>

Article 2

Certification of a mechanical barrier device  
and certification of a technical security device

- (1) Certification of a mechanical barrier device and certification of a technical security device involves the activity in which
  - a) the conformity of characteristics of a mechanical barrier device and a technical security device with the security standard is verified,
  - b) the capability of a mechanical barrier device and a technical security device to protect classified materials is confirmed.
- (2) Verification of the conformity of characteristics under paragraph (1) subparagraph (a) shall be performed by the Authority, state agency or an entrepreneur authorised by the Authority<sup>3)</sup> to perform verification of the conformity of characteristics of a mechanical barrier device and a technical security device (hereinafter referred to as the “authorised person”) with the security standard.

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<sup>1)</sup> Decree of the National Security Authority No 336/2004 Coll. on physical security and building security.

<sup>2)</sup> Article 11 of Decree the National Security Authority No 336/2004 Coll.

<sup>3)</sup> Article 59 of the Act No 215/2004 Coll. on protection of classified materials and on amendment to certain laws.

- (3) Confirmation of the capability under paragraph 1 subparagraph (b) shall be performed by the Authority based on the application for issuance of a certificate.
- (4) Essential elements of the application for issuance of a certificate are listed in Annexes No 1 and 2.

### Article 3 Type certification

- (1) Type certification of a mechanical barrier device and type certification of a technical security device (hereinafter referred to as the “type certification”) involves the activity by which the conformity of characteristics of a mechanical barrier device and a technical security device with the security standard is verified and certified.
- (2) The producer, importer, authorised representative or distributor<sup>4)</sup> (hereinafter referred to as the “applicant”) shall apply to the Authority for issuance of a type certificate. A written application shall contain the following:
  - a) data on the applicant,
  - b) data on the producer,
  - c) data on the product,
  - d) accompanying documents to the application according to Annex No 1.
- (3) The applicant shall provide to the authorised person a list of standards and regulations to which the product conforms, test certificates issued by the entity other than the authorised person, results of tests done by the producer, certificates and test certificates issued by foreign testing laboratories, technical documentation, a sample of the type of mechanical barrier device and technical security device if requested by the authorised person.
- (4) If no Slovak technical standard or method indicated in the technical regulations exists for the requested test, a procedure proposed by the authorised person and approved by the Authority shall be applied to the verification of conformity. The Authority shall publish testing procedures on Internet site of the Authority.
- (5) The authorised person shall issue a final protocol of the results of its findings, which shall contain a conclusion commenting on the conformity of characteristics of the mechanical barrier device and technical security device with the security standard.
- (6) Final protocol shall contain the following:
  - a) data on the authorised person,
  - b) data on the applicant,
  - c) data on the product,
  - d) a list of grounds for verification of the conformity of characteristics,
  - e) overview of test results,
  - f) evaluation of test results,
  - g) conclusion.
- (7) The authorised person shall hand over the final protocol to the applicant.
- (8) The applicant that has been issued a certificate shall immediately notify the Authority of any changes of the conditions prescribed for issuance of the certificate, which occurred during the validity period of the certificate.
- (9) Upon suggestion of the Authority, the authorised person shall be authorised to examine the conformity of characteristics of the produced products with a certified type.
- (10) A list of certified types of mechanical barrier devices and certified types of technical security devices and validity period of the certificate shall be published by the Authority

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<sup>4)</sup> Article 2 subparagraphs (b) to (e) of the Act No 264/1999 Coll. on technical requirements with respect to products and on assessment of conformity, and on amendment to certain laws as amended.

immediately after their release on the Internet site of the Authority. After expiration of the certificate, the mechanical barrier device or technical security device shall be deleted from the list of certified mechanical barrier devices and technical security devices.

- (11) The holder of a type certificate shall attach a copy of the type certificate to the accompanying technical documentation designated for the user.
- (12) Paragraphs 1 to 11 shall apply accordingly to the certification of mechanical barrier devices and technical security devices, which are manufactured, supplied and installed as single pieces (e.g. cabinet safe-deposits).

#### Article 4 Device certification

- (1) Certification of a single mechanical barrier device and certification of a single technical security device (hereinafter referred to as the “device certification”) is a kind of certification by which the conformity of characteristics of a mechanical barrier device and technical security device, to which a type certificate has not been issued by the Authority, with the security standard is verified and confirmed.
- (2) A written application shall contain the following:
  - a) data on the applicant,
  - b) data on the producer,
  - c) data on the product,
  - d) accompanying documents to the application according to Annex No 2.
- (3) The Authority issues device certificates to diplomatic missions, consular offices of the Slovak Republic and to the Slovak Intelligence Service based on the declaration of their statutory body of the capability of the device to protect classified materials in accordance with Annex 3.
- (4) Certified devices according to paragraph 1 shall not be entered in the list of certified mechanical barrier devices and technical security devices pursuant to Article 3 paragraph 10.
- (5) Provisions of Article 3 paragraphs 3 to 8 shall apply accordingly to the device certification.

#### Article 5 Validity of a type certificate and a device certificate

Validity of the certificate of a mechanical barrier device and technical security device shall expire

- a) upon expiration of validity of the certificate,
- b) upon alteration of parameters in the security standard,
- c) if a mechanical barrier device or technical security device no longer complies with parameters indicated in the final protocol.

#### Article 5a Rules for application of a mechanical barrier device and technical security device

- (1) Upon expiration of validity of the type certificate a mechanical barrier device can be used by the user for ten more years and a technical security device for five more years unless a change of characteristics essential for ensuring the protection of classified materials occurred. The principal shall ensure that the characteristics be checked at least

- once a year.<sup>4a)</sup> A record of such control shall be included in the security documentation of physical security and building security.
- (2) Upon expiration of validity according to paragraph 1, the user may apply for issuance of a certificate.<sup>4b)</sup>

Article 6  
Transitional provision

Mechanical barrier devices or technical security devices certified in compliance with the existing regulations shall be considered certified in compliance with this Decree.

Article 7  
Effect

This Decree shall come into effect on 1 June 2004.

Aurel Ugor, in his own hand

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<sup>4a)</sup> Article 8 paragraph 1 of Act No 215/2004 Coll.

<sup>4b)</sup> Article 54 of Act No 215/2004 Coll.

**Annex No 1  
to Decree No 337/2004 Coll.**

National Security Authority	Received on:
	Registration number:
Phone: ..... Fax:	

**APPLICATION  
for issuance of a type certificate<sup>1)</sup>**

of a mechanical barrier device / technical security device according to  
Article 54 of Act No 215/2004 Coll. on protection of classified materials and on amendment  
to certain laws

**1 Applicant** (producer, importer, authorised representative or distributor)

Trade name:

Address:

Identification number:

Statutory representative:

(name, surname, title, position, phone, fax):

Employee empowered for negotiations:

(name, surname, title, position, phone, fax):

**2 Producer** (to be completed when the application is lodged by the importer, authorised representative or distributor)

Trade name:

Address:

**3 Product**

Make, commercial name:

Type:

Variants:

Security level of classified materials for the protection of which the product is designated:

**4 Accompanying documents to the application:**

Final protocol issued by the authorised person:	Annex No:
Certificate or declaration of conformity of the mechanical barrier device or technical security device according to a special law <sup>2)</sup>	Annex No:
Other data:	Annex No:

At ..... on:

.....  
Applicant's signature and imprint of stamp/seal

<sup>1)</sup> Complete in block capitals or print.

<sup>2)</sup> Act No 264/1999 Coll., Act No 90/1999 Coll. on building products as amended.

**Annex No 1  
to Decree No 337/2004 Coll.**

National Security Authority	Received on:
	Registration number:
Phone: ..... Fax:	

**APPLICATION  
for issuance of a certificate of a single<sup>1)</sup>  
mechanical barrier device / technical security device according to  
Article 54 of Act No 215/2004 Coll. on protection of classified materials and on amendment  
to certain laws**

**1. User**

Trade name:

Address:

Identification number:

Statutory representative:

(name, surname, title, position, phone, fax):

Employee empowered for negotiations:

(name, surname, title, position, phone, fax):

**2. Producer** (or importer or distributor, as appropriate)

Trade name:

Address:

**3. Product**

Make, commercial name:

Type:

Serial number (or other unique identifying parameter, as appropriate):

Security level of classified materials for the protection of which the product is designated:

**4. Accompanying documents to the application:**

Final protocol issued by the authorised person:	Annex No:
Declaration of the user's statutory body of the product capability	
Specification of the technical device:	Annex No:
Description of user's environment:	Annex No:

At ..... on:

.....  
Applicant's signature and imprint of stamp/seal

<sup>1)</sup> Complete in block capitals or print.

**Declaration of the user's statutory body of capability of a single product**

**1. User**

Trade name/name:

Address:

Company ID number:

Tax ID number:

Represented by:

(name, surname, title, position, phone, fax):

**2. Single product**

Mark:

Producer:

Date of putting into operation:

Serial number (or other unique identifying parameter, as appropriate):

Registration number:

Placement and application method of the product:

**3. Accompanying documentation based on which the declaration of a statutory body is issued**

Producer's declaration of conformity of a mechanical barrier device or technical security device with EN, STN standard or with other regulatory provision
Other certificates, authorisations or approvals
Technical documentation - technical description of the device (description of the structure, size, material composition of the product, a list of technical components used, wiring scheme etc)
Operating and service instructions, producer's mounting instructions
Results of tests, overhauls and checks
Photo-documentation, placement of the product and a list of other mechanical barrier devices or technical security devices applied for the protection of classified materials

**Declaration**

A single product complies with the requirements of the security standard, it is full functional and capable to ensure protection of buildings and protected areas designated for depositing and handling classified materials of the security level S or C.

Security documentation of physical security and building security pursuant to Article 11 of Decree of the National Security Authority No 336/2004 Coll. on physical security and building security has been drawn up with regard to the protected area where the single product is located.

At ..... on:

.....  
User's signature and imprint of stamp/seal